

IDS - 03/14/2009 ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System, Method and Computer Program Product for Processing Event Records
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Application Number : 10/627033
Confirmation Number: 3709
First Named Applicant: John Gavan
Attorney Docket Number: COS94041C1
Art Unit:
Examiner:
Search string: (6601048 or 6732082).pn

**US Patent Documents****Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CA	1	6601048	2003-07-29	Gavan et al.			
CA	2	6732082	2004-05-04	Gavan et al.			

Signature

Examiner Name	Date
/Charles Agwumezie/	06/14/2006

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. COS94041C1	SERIAL NO. NOT ASSIGNED		
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT(S): Gavan et al.			
				FILING DATE July 24, 2003	GROUP NOT ASSIGNED		
U.S. PATENT DOCUMENTS							
* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
CA		4,002,848	01/1997	Stein			
↓		4,154,983	05/1979	Pedersen			
		4,464,543	08/1984	Kline et al.			
		4,805,209	02/1989	Baker, Jr. et al.			
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	CA		5,345,595	09/1994	Johnson et al.		
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
CA		96/31043	10/1996	WO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
CA		Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4					
↓		Garner, B.; Chen, F., Hypothesis generation paradigm for fraud detection, TENCON '94. IEEE Region 10's Ninth Annual International Conference. Theme: Frontiers of Computer Technology Proceedings of 1994, 22-26 Aug. 1994, Pages 197-201 vol. 1					
	CA	Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316					
EXAMINER				DATE CONSIDERED			
/Charles Agwumezie/				06/14/2006			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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		5,432,845	07/1995	Burd et al.				
		5,463,681	10/1995	Vaios et al.				
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		5,506,893	04/1996	Buscher et al.				
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CA		5,602,906	02/1997	Phelps				
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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CA		Scarfe, R.T.; Shortland, R.J., Data Mining Applications in BT, Knowledge Discovery in Databases, [IEE Colloquium on], 1995, Pages 5/1-5/4						
↓		Sternberg, M.; Reynolds, R.G., Using cultural algorithms to support re-engineering of rule-based expert systems in dynamic performance environments: a case study in fraud detection, Evolutionary Computation, IEEE Transactions on, Volume: 14, Nov. 1997, Pages: 225-243						
		Watkins, P.R.; Lin, T.W.; O'Leary, D.E., AI integration for enhanced decision support, Systems Sciences, 1992. Proceedings of the Twenty-Fifth Hawaii International Conference on, Volume: III, 7-10 Jan. 1992, Pages(s): 133-144 vol. 3						
		META Group, Inc. "Advanced Information Management", August 29, 1994.						
CA		Calem, Robert E., "To Catch A Thief" Forbes ASAP, pp. 44-45, date unknown						
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		5,640,446	06/1997	Everett et al				
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		5,963,625	10/1999	Kawecki et al.				
	6,208,720	03/2001	Curtis et al.					
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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